Letter of Enrollment for Investment Portfolio Services *(Mutual Funds)*

I / We understand that;
the Investment Portfolio Services offered by Sundaram Direct is a structured offering
- striving to gather as far as possible - fund research and analysis, the fund house/principal's projections, capital market movements, insights into various sectors
- and thereupon give a comprehensive portfolio and its review on a periodic basis.

I/We also understand that the given services, as specified in the Annexure, are being provided for a period of one year from the date of signing this enrollment letter; whereupon the same may be reviewed/ modified/ recalled for the next period. Any additions or modifications to these services during this one year will be intimated to me/us in person by an authorised representative in writing through a letter.

I/We hereby undertake to comply with all necessary KYC requirements and adhere to all regulations and requirements of the respective Asset Management Companies. I/we would undertake investments only post reading and understanding all the terms, conditions and instructions as contained in the individual scheme documents.

I/We declare that all particulars given by me/us in any of the investment documents would be true, correct and complete. I shall seek clarifications from the investment officer prior to implementing any action based on the mutual fund portfolio review. I/We am/are aware that any action based on the portfolio statement is subject to the risks inherent to the markets and any other risks associated with the specified investment(s).

I would like to subscribe to e-mail *(to my email id mentioned below)* & SMS alerts *(to my registered mobile number given below)* pertaining to any transactions done through Sundaram Finance Limited and/or any other information on other financial services on a periodic basis.

I/We further undertake to intimate Sundaram Direct (Wealth Services) Investment officer of any changes in my/our investments/ additional relevant information/ additional documents - immediately upon the occurrence of such a change.

I/We also agree to provide any additional information / documents that may be required by Sundaram Direct (Wealth Services) from time to time, failing which, I / we understand that any statement/portfolio review furnished by Sundaram Direct could be incomplete.

Signature:

Client Name:

PAN: **Date of Birth:**

Email ID: **Mobile No.:**

Date: **Place:**

Advisor Name: **Advisor Branch:**

Annexure:

Service Deliverables under the Investment Portfolio Services *(Mutual Funds)*

1. Quarterly Portfolio Statement

An organised report on the investments handled by Sundaram Direct, complete with

- ☑ Investment details
- ☑ Current NAV
- ☑ Current Market Value
- ☑ Dividends Received
- ☑ Net Gains
- ☑ Absolute Yield
- ☑ Annualised Yield

2. InvestmentSDirect

Monthly Mutual Fund Research Reports that:

- ☑ Picks out the fundamentally and technically strong Mutual Fund schemes for the month
- ☑ Research categorized under Equity and Debt, with strategy note.

3. NFO'SDirect

Mailed daily to your registered email address:

- ☑ A comprehensive list of all major NFOs (Debt & Equity) post due-diligence from our end
- ☑ Classified category wise for ease of selection

3. Annual Report on Capital Gains / Losses

For schemes invested through Sundaram, taxation support would be provided through:

- ☑ Consolidated report on investments redeemed during the financial year
- ☑ Implication of the Capital Gain / Loss booked computed
- ☑ Report can be used for submitting to Auditors for computation of capital gains tax liability.

5. Annual Portfolio Review

An indepth review of the portfolio that:

- ☑ Analyses portfolio performance over the year
- ☑ Review the same on investor's behalf with Fund Managers and Rating Firms.
- ☑ Consolidate the Buy, Hold or Sell views using the above process
- ☑ Assisting the documentation and implementation of the review

6. Special Reports

Value added research reports:

- ☑ Budget and similar economic event Reports
- ☑ Financial Planning Notes and articles

Fee Structure

Annual Portfolio Administration Fees:

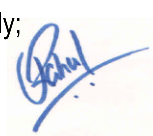
Waived off for existing Sundaram Finance (Deposit, Loan, Insurance, Investment) Customers.

If you have any questions or feel that some relevant information has been missed out during the periodic statements, please do contact us anytime - to help us manage your investments more efficiently.

We would welcome any of your valuable suggestions, transactional requests or grievances (if any) directly to the Head of Sundaram Wealth Services through e-mail at rahul@sundaramfinance.in

Thanking you once again and looking forth for your continued support and patronage;.

Yours truly;



Sundaram Wealth Services